

International Relation and Political Sciences (IRPS)

Academic year 2019 - 2020

Comparative Politics

RI-SP046- Spring- 6 ECTS

Course Description

This seminar introduces students to the concepts, methods of analysis, and theoretical approaches that form the core of the comparative politics subfield. Discussion will be structured around key questions and topics that frame comparative inquiry, and an evaluation of the strengths and weaknesses of contending theories. A single semester course is inadequate to cover a specific topic, much less an entire subfield. The course therefore constitutes a primer for comparative research.

> PROFESSOR

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> ASSISTANT

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Syllabus

The course will meet every Wednesday from 10:15 – 12:00 in Room S7, unless otherwise stated in the syllabus.

Required Book

The following book is available for purchase on amazon.com/.de/.fr and is on reserve at the library.

Boix, Charles and Susan Stokes. 2007. *The Oxford Handbook of Comparative Politics*. Oxford: Oxford University Press.

All required articles and book chapters are provided in electronic format on Moodle. Readings that are recommended will be available over the library reserve. I will occasionally send you additional readings.

Course Objectives

The primary goal of this course is to provide students with the conceptual background of different approaches to social inquiry in comparative politics, and various substantive subfields of comparative politics. It also seeks to provide a set of analytical tools that students can use to understand and critically analyze contemporary issues in comparative politics. Upon your completion of this course, you should be able to:

- Explain and evaluate what comparative politics, as a subfield, is about.
- Critique approaches and articles, and identify weaknesses, using your own insights or those of other approaches.
- Apply comparative methodology and develop a social scientific, inquiry-based research project.
- Pursue further study of or research on related topics such as conflict studies, political economy, or developmental studies.
- Effectively communicate research findings in both written and verbal formats.

How to Maximize Your Learning Experience

1. Be prepared to read, think and discuss... A LOT.
2. Know that I have very high expectations of your work and that you should as well.
3. Schedule appointments to meet with me to discuss your progress, writing, and understanding of course material. **I am always willing to help.**
My office hours are from 14:00 to 16:00 every Wednesday.
4. Plan to come to class every day, and remember, "To be 'early' is to be on time, to be 'on time' is to be late, and to be 'late' is unacceptable."

Interactive Lecture

A major feature of this class will be class participation in form of discussing the assigned articles, critically reflecting on theoretical approaches, and actively engaging with your peers. Thus, it will be essential that you carefully read the assigned required readings prior to class. I will lecture only to convey general theoretical and topical overviews, as well as key terms and principles that are covered in the readings. You are expected to complete all required readings by the date listed, which will help you contribute to class discussion.

To read efficiently, avoid a careful, one-time, word-by-word reading of the text. Instead, skim the introduction and conclusion, where available, to identify the author's main argument(s). Then scan section headings (or the first sentence in each paragraph) to see how the author develops and supports their argument. Finally, reserve detailed reading for those sections that clarify the argument or provide supporting evidence. If you are spending more than three hours on a set of readings, you are reading too closely.

Furthermore, spend some time thinking about the reading:

- What is the author's main argument?
- What evidence is used to support the argument?
- Is the argument and evidence compelling? Are there alternative explanations?
- How does the reading relate to previous ones covered in the course?
- Why are we reading this?

Participation

Participation is an essential and mandatory component of this course. You are expected to (1) come to class having read the assigned material and contribute meaningfully to class discussions, (2) follow news pertinent to our topics, and (3) critically reflect on concepts and articles we encounter. Turning any class from a 'dry' lecture into an exciting, but insightful seminar requires collective and interactive participation of each student. Thus, the quality of your learning experience is determined by lively and polite exchange of thoughts and criticisms.

The grade you earn will reflect the amount and quality of your participation, as well as your attendance. It should be common sense that you cannot earn a great participation grade if you are not attending class. Below I defined what reflects different qualities of comments.

Comment Quality:

- "High": Comments show reflection about the reading or the discussion at hand, contribution through strong points and/or adding value to the discussion by stating their opinion based on factual knowledge.
- "Satisfying": Comments show engagement in class discussion, willingness to "take a guess", and ability to link previous lecture material to discussions at hand, as well as expressing opinions.
- "Low": Comments show engagement in class discussion, but student never contributes to the added value or demonstrates knowledge from the readings – they are just working for that tick mark.

Assessments

1. Weekly Response Paper

Each student is required to submit a weekly short response paper (starting from session 2 on) based on the assigned required readings, due the day before each class by 6 pm (i.e. Monday by 6pm) via Moodle. All articles assigned for a given week need to be addressed and integrated with each other in composing the response paper. To that end, try to answer the question: what connects all the articles, or where do they disagree? What do you find contentious or convincing?

The goal of the WRPs is to demonstrate that you have completed the readings, are able to synthesize key information, and are prepared for the class discussion. The response paper should be between 2-3 pages (double-spaced, Times New Roman 12). The response paper will be evaluated based on quality and number of readings incorporated, ranging from check minus to check plus. All in all, 6 WRPS need to be handed in (out of 11 options to do so). Students can choose for which weeks they hand in a paper, but reports cannot be handed in retrospectively. If unhappy with a response grade, students can submit additional WRPs and replace an earlier submission. Late papers will receive only a check minus. Sessions where no papers are due either way are Session 1 and Session 13.

2. Research Design Project

The aim of the project is to produce the front part of an original research paper (i.e. research justification and question, literature review, theory and methodology). The emphasis here is on *original*, i.e. papers or parts of papers that were or are submitted to other courses or have been part of your BA- or MA-thesis do not count as original. Please contact me if you have any questions.

In selecting your topic and conducting your work, please keep the following rules in mind:

First, the paper must present an original research idea. General literature reviews or summaries of other works are not acceptable.

Second, the paper should not address pure policy questions (e.g.: Should Germany accept non-Syrian refugees? Should Great Britain remain in the EU? Should China develop greater ecological sustainability?). However, your paper can (and should) have policy implications, which you may address when you justify your research endeavor. Furthermore, your project must have a clear theoretical focus and contribution. That is, it should address the general logic of the phenomenon you are investigating and not (a) specific case(s) alone.

Third, even though you are not asked to perform any analyses of evidence in the paper, the goal of the project is to produce a well-thought-out research design that features testable hypotheses that could realistically be tested through evidence in a rigorous manner. To this end, the research design can envision single case studies, comparisons across countries or other units, or comparisons of one and the same country/unit across time.

Finally, the topic of the paper must address an issue that is related to comparative politics in a prominent manner. You should contact me early in the semester to discuss your topic of choice. Research and think a lot before writing!

Please use Chicago as your reference style for footnotes, endnotes and in-text references, see: http://www.chicagomanualofstyle.org/tools_citationguide.html. Information from Wikipedia is not reliable and should be verified with (and attributed to) another source. Further, be prepared to attend a **!! mandatory citation-workshop !!** (date will be confirmed once enrollment is finished). This will be a ca. 45 minute long interactive workshop on proper citation and how to avoid accidental plagiarism. In return, no lecture will take place on March 25.

Precise guidelines will be distributed in class. **A first draft** of your research design paper (not graded) has to be submitted by **April 21** (no later than 18:00). Failure to present an appropriate draft will lead to a lowering of the final paper grade, which is based on the first draft. The **final version** of your research design paper should be ca. 10-15 pages, double-spaced, excluding bibliography, and is **due June 1** at midnight. Late submissions will receive -0.25 grade point penalty for every hour it is late.

3. Peer review

First drafts of the research design paper will be discussed in small groups (number of participants per group depend on class size, organized by paper theme) featuring peer review. The goal is to provide constructive feedback to you and your classmates to help you improve your papers. Every student will be graded according to their performance in reviewing other students' work. This entails having read the draft of every participant in the group and providing constructive feedback via a feedback memo. Precise guidelines will be distributed in class towards the draft deadline, but students are expected to provide a 7-10 pages draft by Tuesday, April 21 by 18:00. The assignment for the peer review will be determined once class enrollment is settled, but **feedback memos are due by Tuesday, April 28** (no later than 18:00).

The breakdown of your grade will be as follows:

20% = attendance and class participation

15% = peer review

25% = weekly response papers

40% = research design paper

Classroom Policies

Use of Laptops & Other Electronic Devices

All class material will be provided electronically, so extensive use of laptops to take notes is theoretically not necessary and can be done by hand. However, for the purpose of opening and following class readings/notes on readings, the use of laptops is permitted. I reserve the RIGHT TO BAN ALL LAPTOP USE (except for medical needs) if class participation is low and the distraction level through other laptop uses is high (checking emails, surfing the web, chatting, etc.). Lastly, smart/cell phones should be set to silent, not vibrate.

Name/Pronoun Preferences

I will gladly honor your request to address you by an alternate/preferred name or gender pronoun. Please advise me of this preference early in the semester so that I may make appropriate changes to my records. If you would like additional assistance regarding communication about your preferred name or pronoun, please contact me at melanie.kolbe@graduateinstitute.ch

Attendance and Absences

You are allowed two absences for whatever reason during the semester. Beyond that you must provide proper documentation addressing the absence. If you plan to/know you will miss class and have a valid official excuse (e.g., medical, academic) or case of hardship (e.g., eviction from your place of residence or attendance at a funeral), please contact me ahead of time and provide me with proper documentation in each and every case.

If you plan on observing religious holidays, please talk to me after our first session. Undocumented absences, as well as repeated tardiness (i.e. being more than 10 minutes late) will result in a 0.25 grade points lowered participation grade for every offense. If you chose to take one of your 'free' absences, please keep in mind that you are responsible for all lecture material, handouts, announcements, explanations of assignments, and other relevant details that you missed. Furthermore, if you come more than 20 minutes late to class, I will ask you to leave the room and you will be counted as absent.

Grade Appeal

If you feel that an assessment was graded incorrectly, submit a written appeal to me within two days after the assessment in question was returned. Students should keep copies of the assignments they turn in and retain graded papers until they receive their final course grade.

Moodle Use

Assignments, articles, announcements, and grades can be accessed via the Moodle course page. Assignments should be submitted via Moodle, unless otherwise specified.

Academic Honesty

As a student of the Graduate Institute of International and Development Studies you have agreed to abide by the Institute's academic honesty policy. All academic work must meet the standards described in "Institute's Internal Guidelines Governing Citation of Sources and Plagiarism." Lack of knowledge of the academic honesty policy is not a reasonable explanation for a violation. Please contact me any time you have questions related to course assignments and the academic honesty policy.

Bilingual Policy

Although the class will be held in English, students are welcome to ask questions and submit their written assessments in French.

Tentative Schedule and Reading Assignments

February 19 (Session 1): Introduction to Comparative Politics

Required:

No readings assigned for this week.

Recommended:

Geddes, Barbara. 1991. "Paradigms and Sand Castles in Comparative Politics of Developing Areas." In *Political Science: Looking to the Future II*, edited by William Crotty, 45-75. Evanston: Northwestern University Press; Katzenstein, Peter et al. 1995. "The Role of Theory in Comparative Politics: A Symposium." *World Politics* 48, no. 1 (October): 1-49; Laitin, David. 2002. "Comparative Politics: The State of the Subdiscipline." In *Political Science: The State of the Discipline (Centennial Edition)*, edited by Helen V. Milner & Ira Katznelson, 630-59. W. W. Norton & Company; Munck, Gerardo L. 2007. "The Past and Present of Comparative Politics." In *Passion, Craft, and Method in Comparative Politics*, edited by Gerardo L. Munck & Richard Snyder, 32-59. Baltimore: The Johns Hopkins University Press; Lichbach, Irving. 2009. "Thinking and Working in the Midst of Things: Discovery, Explanation, and Evidence in Comparative Politics." In *Comparative Politics: Rationality, Culture, and Structure*, 2nd edition, by Mark I. Lichbach and Alan S. Zuckerman, 18-71. Cambridge: Cambridge University Press; Zuckerman, Alan. 2009. "Advancing Explanation in Comparative Politics: Social Mechanism, Endogenous Processes, and Empirical Rigor," In *Comparative Politics: Rationality, Culture, and Structure*, 2nd edition, by Mark I. Lichbach and Alan S. Zuckerman, 72-95. Cambridge: Cambridge University Press; Bates, Robert H. "Area Studies and the Discipline: A Useful Controversy?" *PS: Political Science and Politics* 30 (2): 166-79.

February 26 (Session 2): Issues of Method: Modes of Inquiry and "Data" Collection

Core Questions: *What is the added value of case study research given an increasing interest in and reliance on large-scale quantitative analysis? What is the value and what are the limits of testing/developing a theory from a single case?*

Required:

Gerring, John. 2016. *Case Study Research: Principles and Practices*. 2nd edition. Cambridge: Cambridge University Press: chapters 2 and 3.

Bates, Robert. 2007. "From Case Studies to Social Science: A Strategy for Political Research." In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 172-185. Oxford: Oxford University Press.

Mahoney, James and Celso M. Villegas. 2007. "Historical Enquiry and Comparative Politics." In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 73-89. Oxford: Oxford University Press.

Collier, Paul. 2011. "Understanding Process Tracing." *PS: Political Science and Politics* 44(4): 823-830.

Recommended:

George, Alexander L. and Andrew Bennett. 2005. *Case Studies and Theory Development in the Social Sciences*, Cambridge, MA: MIT Press; Collier, Paul. 2011. "Understanding Process Tracing." *PS: Political Science and Politics* 44(4): 823-830; Mahoney, J. 2012. The logic of process tracing tests in the social sciences. *Sociological Methods and Research* 41(4): 570-597; Bennett, Andrew and Jeffrey Checkel. 2014. *Process tracing: From metaphor to analytic tool*. Cambridge, U.K.: Cambridge University Press; Pierson, Paul. 2000. "Increasing Returns, Path Dependence, and the Study of Politics." *American Political Science Review* 94(2): 251-267; Mahoney James. 200. Analyzing Path Dependence: Lessons from the Social Sciences. In: Wimmer, Andreas and Reinhart Kössler (eds). *Understanding Change*. Palgrave Macmillan, London.

March 4 (Session 3): Issues of Method: Modes of Inference and Case Study Design

Core Questions: What are the different conceptions of causation discussed in the readings and what do they mean for the empirical analysis (i.e. the data you need, the mode of analysis you employ, the conclusions you can draw)? Why is selecting on the dependent variable contentious?

Required:

Geddes, Barbara. 1990. "How the Cases You Choose Affect the Answers You Get: Selection Bias in Comparative Politics." in J. A. Stimson, ed., *Political Analysis Vol. 2*. Ann Arbor: University of Michigan Press, pp. 131-150.

Della Porta, Donatella. 2008. "Comparative Analysis: Case-oriented versus Variable-oriented Research." In *Approaches and Methodologies in Social Sciences: A Pluralist Perspective*, edited by Donna Della and Michael Keating, 198-222. Cambridge: Cambridge University Press.

Bennett, Andrew and Colin Elman. 2006. "Qualitative Research: Recent Developments in Case Study Methods." *Annual Review of Political Science* 9: 455-476.

Seawright, Jason and John Gerring. 2008. "Case Selection Techniques in Case Study Research: A Menu of Qualitative Options." *Political Research Quarterly* 61(2): 194-308.

Recommended:

Gerring, John. 2016. *Case Study Research: Principles and Practices*. 2nd edition. Cambridge: Cambridge University Press: chapter 5; Collier, David. 1993. "The Comparative Method." In *Political Science: The State of the Discipline 2*, edited by Ada W. Finifter, 105-119. Washington, D.C.: APSA; Bennett, Andrew. 2004. "Case Study Methods: Design, Use, and Comparative Advantages." In *Models, Numbers, and Cases: Methods for Studying International Relations*, edit by Detlef F. Sprinz and Yael Wolinsky-Nahmias, 19-55. Ann Arbor: Michigan University Press; Mahoney, James and Gary Goertz, 2006. "A Tale of Two Cultures: Contrasting Quantitative and Qualitative Research." *Political Analysis* 14, no. 3 (Summer): 227-249; Przeworski, Adam and Henry Teune. 1970. *The Logic of Comparative Social Inquiry*. New York: Wiley-Interscience, chapter 2; Mahoney, James. 2007. "Qualitative Methodology and Comparative Politics." *Comparative Political Studies* 40(2): 122-144; Bennett, Andrew and Colin Elman. 2007. "Case Study Methods in the International Relations Subfield." *Comparative Political Studies* 40(2): 170-195; Snyder, Richard. 2001. "Scaling Down: The Subnational Comparative Method." *Studies in Comparative International Development* 36:1 (Spring), pp. 93-110; Goertz, Gary. 2012. "Mathematical Prelude: A Selective Introduction to Logic and Set Theory for Social Scientists." In *A Tale of Two Cultures: Qualitative and Quantitative Research in the Social Sciences*, edited by Gary Goertz and James Mahoney, 16-40. Princeton: Princeton University Press; Lijphart, Arend. 1975. "The Comparable-Cases Strategy in Comparative Research." *Comparative Political Studies* 8(2): 158-177; Almond, Gabriel and Sidney Verba. 1963. *The Civic Culture: Political Attitudes and Democracy in Five Nations*. Princeton, NJ: Princeton University Press; Dion, Douglas. 1998. "Evidence and Inference in the Comparative Case Study." *Comparative Politics* 30(2): 127-145 (only read pp.127-133).

March 11 (Session 4): States and State Formation

Core Questions: What are the relative contributions of trade and war-making toward the state building process? What are the limits of the European state building experience in understanding state formation in developing countries?

*RD Mini-Lecture: What is a puzzle? (Supplementary reading: Gustafsson & Hagstrom. 2018. "What is the point? Teaching Graduate Students how to Construct Political Science Research Puzzles." *European Political Science* 17(4): 634-648.)*

Required:

Spruyt, Hendrik. 2007. "War, Trade, and State Formation." In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 211-235. Oxford: Oxford University Press.

Tilly, Charles. 1985. "War Making and State Making as Organized Crime." In *Bringing the State Back In*, edited by Peter D. Evans, Dieter Rueschemeyer and Theda Skocpol, 169-191. New York: Cambridge University Press.

Levi, Margaret. 2002. "The State of the Study of the State." In *Political Science: The State of the Discipline (Centennial Edition)*, edited by Helen V. Milner & Ira Katznelson, 33-55. W. W. Norton & Company.

Herbst, Jeffrey. 2000. "The Challenge of State Building in Africa." In *States and Power in Africa: Comparative Lessons in Authority and Control*, 9-32. Princeton: Princeton University Press.

Recommended:

Evans, Peter B. 1997. "The Eclipse of the State? Reflections on Stateness in an Era of Globalization." *World Politics* 50 (1): 62-87; Hardin, Russel. 2007. "Compliance, Consent, and Legitimacy." In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 236-255. Oxford: Oxford University Press; Taylor, Brian, and Roxana Botea. 2008. "Tilly Tally: War-Making and State-Making in the Contemporary Third World." *International Studies Review*, 10 (1): 27-56; Spruyt, Hendrick. 2002. "The Origins, Development, and Possible Decline of the Modern State." *Annual Review of Political Science* 5: 127-149. Block, Fred. 1977. "The Ruling Class does not Rule. Notes on the Marxist Theory of the State." *Socialist Revolution*, 33: 7-29; Nettl, J.P. 1968. "The State as a Conceptual Variable." *World Politics* 20(4): 559-592; Migdal, Joel. 2009. "Researching the State." In *Comparative Politics, Rationality, Culture, and Structure*, second edition, edited by Mark I. Lichbach and Alan S. Zuckerman, 162-192. Cambridge University Press; Tilly, Charles. 1990. *Coercion, Capital, and European States, AD 990-1990*. Cambridge, MA: Blackwell Publishing; Weber, Eugen. 1976. *Peasants into Frenchmen: the Modernization of Rural France 1870-1914*. Stanford, CA: Stanford University Press; Greenfeld, Liah and Jonathan Eastwood. 2007. "National Identity." In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 211-235. Oxford: Oxford University Press; Gellner, Ernest. 1983. *Nations and Nationalism*. Ithaca: Cornell University Press.

March 18 (Session 5): Beyond the State: Institutions and Institutional Analysis

Core Questions: How do the "three new institutionalisms" differ from each other? What critiques can be leveled against institutionalist explanations of development?

RD Mini-Lecture: How to write a Literature Review? (Supplementary Reading: Knopf, Jeffrey W. 2006. "Doing a Literature Review." PS: Political Science & Politics 39(1): 127-132.)

Required:

Hall, Peter A., and Rosemary C. R. Taylor. 1996. "Political Science and the Three New Institutionalisms," *Political Studies* 44 (5): 936-957.

North, Douglass. 1991. "Institutions." *Journal of Economic Perspectives* 5(1): 97-112.

Steinmo, Sven. 2008. "Historical Institutionalism." In *Approaches and Methodologies in Social Sciences: A Pluralist Perspective*, edited by Donna Della Porta and Michael Keating, 118-138. Cambridge: Cambridge University Press.

Sangmpam, S. N. 2007. "Politics Rules: The False Primacy of Institutions in Developing Countries." *Political Studies* 55(2): 201-24.

Recommended:

Thelen, Kathleen. 1999. "Historical Institutionalism in Comparative Politics." *Annual Review of Political Science* 2(1): 369-404; Greif, Avner. 1994. "Cultural Beliefs and the Organization of Society: A Historical and Theoretical Reflection on Collectivist and Individualist Societies." *The Journal of Political Economy* 102 (5): 912-950; Helmke, Gretchen. and Steven Levitsky. 2004. "Informal Institutions and Comparative Politics: A Research Agenda." *Perspectives on Politics* 2 (4): 725-40; Rothstein, Bo. 1991. "Labor Market Institutions and Working Class Strength." In *Structuring Politics. Historical Institutionalism in a Comparative Perspective*, edited by Sven Steinmo, Kathleen Thelen, and Frank Longstreth, 33-56. Cambridge: Cambridge University Press; Moe, Terry. 2005. "Power and Political Institutions." *Perspectives on Politics* 3(2): 215-233; Przeworski, Adam. 2004. "Institutions matter?" *Government and Opposition* 39(4): 527-40; Milgrom, Paul, Douglas North, and Barry Weingast. 1990. "The Role of Institutions in the Revival of Trade: The Law Merchant, Private Judges, and the Champagne Fairs." *Economics and Politics* 2 (1): 1-23; Immergut, Ellen. 1997. "The Theoretical Core of the New Institutionalism." *Politics and Society* 25(4): 5-34; Blyth, Mark. 2003. "Structures do not Come with an

Instruction Sheet: Interests, Ideas and Progress in Political Science." *Perspectives on Politics* 1(4): 695-703; North, Douglass. 1990. *Institutions, Institutional Change and Economic Performance*. Cambridge, Cambridge University Press; March, James G., and Johan P. Olson. 1984. "The New Institutionalism: Organizational Factors in Political Life." *American Political Science Review* 78(3): 734-49. Rodden, Jonathan. 2009. "Back to the future: Endogenous Institutions and Comparative Politics." In *Comparative Politics: Rationality, Culture, and Structure*, 2nd edition, edited by Mark I. Lichbach and Alan S. Zuckerman, 333-357. Cambridge: Cambridge University Press.

March 25: No class (Away for ISA)

April 1 (Session 6): Democratization and Regime Transitions

Core Questions: What factors cause democratization? What makes non-democratic regimes endure?

RD Mini-Lecture: What is a theory and how do I develop a testable hypothesis? (Supplementary Reading: Powner, Leanne. 2014. "From Research Question to Theory to Hypothesis" In Empirical Research and Writing. SAGE: London, pp. 21-54.)

Required:

Geddes, Barbara. 2007. "What Causes Democratization?" In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 317-339. Oxford: Oxford University Press.

Bratton, Michael and Nicolas Van De Walle. 1994. "Neopatrimonial Regimes and Political Transitions Africa." *World Politics* 46, no. 4 (July): 453-489.

Brownlee, Jason. 2002. "And Yet They Persist: Explaining Survival and Transition in Neo-Patrimonial Regimes." *Studies in Comparative International Development*, 37, no. 3 (Fall): 35-63.

Branch, Daniel and Nic Cheeseman. 2008. "Democratization, Sequencing, and State Failure in Africa: Lessons from Kenya." *African Affairs* 108, no. 430 (January): 1-26.

Recommended:

Cheibub, Jose A. 1998. "Political Regimes and the Extractive Capacity of Governments: Taxation in Democracies and Dictatorships." *World Politics* 50, no. 3 (April): 349-376; Bratton, Michael and Eric C.C. Chang. 2006. "State and Democratization in Sub-Saharan Africa: Forwards, Backwards, or Together." *Comparative Political Studies* 39(9): 1059-1083; Olson, Mancur. 1993. "Dictatorship, Democracy, and Development." *The American Political Science Review* 87, no. 3 (September): 567-576; Snyder, Richard. 1998. "Paths Out of Sultanistic Regimes: Combining Structural and Voluntarist Perspectives." in *Sultanistic Regimes*, edited by Houchang E. Chehabi and Juan Linz, 49-81. Baltimore: Johns Hopkins University Press, pp. 49-81; O'Donnell, Guillermo. 2007. "Democratization, Political Engagement, and Agenda-Setting Research." In *Passion, Craft, and Method in Comparative Politics*, edited by Gerardo L. Munck & Richard Snyder, 234-272. Baltimore: The Johns Hopkins University Press; Boix, Carles and Stokes, Susan. 2003. "Endogenous Democratization." *World Politics* 55, no. 4 (July): 517-49; Carothers, Thomas. 2002. "The End of the Transition Paradigm." *Journal of Democracy* 13(1): 5-21; Van de Walle, Nicolas. 2001. *African Economies and the Politics of Permanent Crisis 1979-1999*. Cambridge: Cambridge University Press; Bates, Robert H. 2009. *When Things Fall Apart: State Failure in Late-Century Africa*. Cambridge: Cambridge University Press; De Waal, Alex. 2015. *The Real Politics of the Horn of Africa: Money, War and the Business of Power*. Cambridge: Polity Press.

April 8 (Session 7): Autocracies and Hybrid Regimes

Core Questions: What is meant by the term “competitive authoritarianism” and how does it differ from other, related concepts? What challenge do hybrid regimes pose for conceptualization and measurement? What logics inform authoritarian regimes?

*Mini-Lecture: How does one conceptualize and operationalize terms? (Supplementary Reading: Mair, Peter. 2008. “Concepts and Concept Formation.” In *Approaches and Methodologies in Social Sciences: A Pluralist Perspective*, edited by Donna Della and Michael Keating, 177-197. Cambridge: Cambridge University Press.*

Required:

Diamond, Larry J. 2002. “Thinking about Hybrid Regimes.” *Journal of Democracy* 13(2): 21-35.

Way, Lucan, and Steven Levitsky. 2002. “The Rise of Competitive Authoritarianism.” *Journal of Democracy* 13(2): 51–65.

Gandhi, Jennifer, and Adam Przeworski. 2007. “Authoritarian Institutions and the Survival of Autocrats.” *Comparative Political Studies* 40(11): 1279–130.

Way, Lucan. 2005. “Authoritarian State Building and the Sources of Regime Competitiveness in the Fourth Wave: The cases of Belarus, Moldova, Russia, and Ukraine.” *World Politics* 57(2): 231-261.

Recommended:

Wintrobe, Robert. 2007. “Dictatorship: Analytical Approaches.” In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, chapter 16. Oxford: Oxford University Press; Linz, Juan. 2000. *Totalitarian and Authoritarian Regimes*. Boulder: Lynne Rienner; Zakaria, Fareed. 1997. “The Rise of Illiberal Democracy.” *Foreign Affairs* 76: 22-41; Zakaria, Fareed. 2003. *The Future of Freedom: Illiberal Democracy at Home and Abroad*. New York: Norton; Boix, Charles and Milan W. Svobik. 2013. “The Foundations of Limited Authoritarian Government: Institutions, Commitment, and Power-Sharing in Dictatorships.” *Journal of Politics* 75(2): 300–16; Magaloni, Beatriz. 2006. *Voting for Autocracy: Hegemonic Party Survival and its Demise in Mexico*. New York: Cambridge University Press; Lindburg, Staffan. 2006. *Democracy and Elections in Africa*. Baltimore: Johns Hopkins Press; Lindburg, Staffan (editor). 2009. *Democratization by Elections - A New Mode of Transition*. Baltimore: Johns Hopkins Press; Przeworski, Adam, Michael E. Alvarez, Jose Antonio Cheibub, and Fernando Limongi. 2000. *Democracy and Development: Political Institutions and Well-Being in the World, 1950-1990*. New York: Cambridge University Press; Boogard, Matthijs. 2013. “Reexamining African Elections.” *Journal of Democracy* 24(4):151160; Brownlee, Jason. 2009. “Portents of Pluralism: How Hybrid Regimes Affect Democratic Transitions.” *American Journal of Political Science* 53(3): 515 – 532. Gandhi, Jennifer. 2008. *Political Institutions Under Dictatorship*. New York: Cambridge University Press; Linz, Juan J. and Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe*. Baltimore, MD: The John Hopkins University Press. Lust-Okar, Ellen. 2006. “Elections under Authoritarianism: Preliminary Lessons from Jordan.” *Democratization* 13(3): 456–71.

April 15: No class – Easter Break

April 22 (Session 8): Political Economy: Development

Note: Submit project draft on Tuesday, April 21 by 6 pm.

Core Questions: What are the causes and consequences of government intervention in markets in developing economies? Do democracies perform better economically than non-democracies (or vice versa)? What economic factors (and why) have been argued to affect prospects for democratization?

Required:

Keefer, Philip. 2007. “The Poor Performance of Poor Democracies.” In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 886-909. Oxford: Oxford University Press.

Acemoglu, Daron, Simon Johnson and James Robinson. 2001. "The Colonial Origins of Comparative Development: An Empirical Investigation." *American Economic Review* 91(5): 2369-1401.

Kohli, Atul. 2004. *State Directed Development: Political Power and Industrialization in the Global Periphery*. Cambridge: Cambridge University Press: Introduction and conclusion.

Ross, Michael L. 2012. *The Oil Curse: How Petroleum Wealth Shapes the Development of Nations*. Princeton, NJ: Princeton University Press: chapters 1 and 2.

Recommended:

Acemoglu, Daron, Simon Johnson, and James Robinson. 2002. "Reversal of Fortune: Geography and Institutions in the Making of the Modern World Income." *Quarterly Journal of Economics* 117(4): 1231-1294. Bates, Robert. 1981. *Markets and States in Tropical Africa*. Berkeley: University of California Press. Engerman, Stanley L. and Kenneth L. Sokoloff. 2008. "Debating the Role of Institutions in Political and Economic Development: Theory, History, and Findings." *Annual Review of Political Science* 11: 119-135; Bratton, Michael. 1987. "The Comrades and the Countryside: The Politics of Agricultural Policy in Zimbabwe." *World Politics* 39, no. 2 (January): 174-202; Milgrom, Paul R., Douglass C. North, and Barry R. Weingast. 1990. "The Role of Institutions in the Revival of Trade: The Law Merchant, Private Judges, and the Champagne Fairs." *Economics and Politics* 2(1): 1-23; Gerschenkron, Alexander. 1962. *Economic Backwardness in Historical Perspective*. Cambridge: Belknap Press; Przeworski, Adam and Fernando Limongi. 1993. "Political Regimes and Economic Growth." *Journal of Economic Perspectives* 7(3): 51-69; Engerman, Stanley and Kenneth Sokoloff. 2002. "Factor Endowments, Inequality, and Paths of Development Among New World Economies." NBER Working Paper 9259; Glaeser, Edward, Rafael La Porta, Francisco Lopez de Silanes, and Andrei Shleifer. 2004. "Do Institutions Cause Growth?" *Journal of Economic Growth* 9(3): 271-303; Collier, Paul. 2007. *The Bottom Billion: Why the Poorest Countries Are Failing and What Can Be Done About It*. Oxford University Press: chapter 3; Haber, Stephen and Victor Menaldo. 2011. "Do Natural Resources Fuel Authoritarianism? A Reappraisal of the Resource Curse." *American Political Science Review* 105(2): 1-26. Acemoglu, Daron and James A. Robinson. 2006. "Economic Backwardness in Political Perspective." *American Political Science Review* 100(1): 115-131. Acemoglu, Daron and James A. Robinson. 2006. "Economic Backwardness in Political Perspective." *American Political Science Review* 100(1): 115-131.

April 29 (Session 9): Political Economy: Advanced Industrial Countries

Note: Submit peer review by April 28, 6 pm.

Core Questions: How and why do systems of capitalism and welfare capitalism in advanced economies differ?

Required:

Hall, Peter. 1997. "The Role of Interests, Institutions, and Ideas in the Comparative Political Economy of the Industrialized Nations." In *Comparative Politics: Rationality, Culture, and Structure*, 1st edition, edited by Mark I. Lichbach and Alan S. Zuckerman, 174-207. Cambridge: Cambridge University Press

Hall, Peter A. and David Soskice. 2001. "An Introduction to Varieties of Capitalism." In *Varieties of Capitalism: The Institutional Foundation of Comparative Advantage*, edited by Peter A. Hall and David Soskice: only pages 1-44. Oxford: Oxford University Press.

Blyth, Mark. 2013. "The Austerity Delusion: Why a Bad Idea Won Over the West." *Foreign Affairs* 92(3): 41-56.

Esping-Andersen, Gosta. 1990. *The Three Worlds of Welfare Capitalism*. Princeton: Princeton University Press: chapters 1 and 2.

Recommended:

Blyth, Mark. 2009. "An Approach to Comparative Analysis or a Subfield within a Subfield? Political Economy." In *Comparative Politics: Rationality, Culture, and Structure*, 2nd edition, edited by Mark I. Lichbach and Alan S. Zuckerman, 193-219. Cambridge: Cambridge University Press; Clift, Ben. 2014. *Comparative Political Economy: States, Markets and Global Capitalism*. Palgrave Macmillan; Rodrik, Dani. 1998. "Why Do More Open Economies Have Bigger Governments?" *The Journal of Political*

Economy 106(5): 997-103; David Rueda. 2015. "The State of the Welfare State: Unemployment, Labor Market Policy, and Inequality in the Age of Workfare." *Comparative Politics* 47(3): 296-313; Cameron, David. 1978. "The Expansion of the Public Economy: A Comparative Analysis." *American Political Science Review* 72:4:1243-1261; Boix, Charles. 2003. *Democracy and Redistribution*. Cambridge: Cambridge University Press: chapter 5; Estévez-Abe, Margarita. 2008. *Welfare and Capitalism in Postwar Japan*. Cambridge: Cambridge University Press: intro and chapter 1 and 5; Rogowski, Ronald. 1989. *Commerce and Coalitions: How Trade Affects Domestic Political Alignments*. Princeton: Princeton University Press: chapter 1; Mares, Isabela. 2009. "The Comparative Political Economy of the Welfare State." In *Comparative Politics: Rationality, Culture, and Structure*, 2nd edition, edited by Mark I. Lichbach and Alan S. Zuckerman, 358-375. Cambridge: Cambridge University Press. Mares, Isabela, and Matthew E. Carnes. 2009. "Social Policy in Developing Countries." *Annual Review of Political Science* 12: 93-113; Pontusson, Jonas and Lane Kenworthy. 2005. "Rising Inequality and the Politics of Redistribution in Affluent Countries." *Perspectives on Politics* 3(3): 449-471; Katzenstein, Peter. 1985. *Small States in World Markets: Industrial Policy in Europe*. Ithaca, NY: Cornell University Press.

May 6 (Session 10): The Politics of Ethnicity, Culture, and Nationalism

Core Questions: What approaches to the understanding of the concept of "ethnicity" exist? When do (cultural or ethnic) cleavages become politically salient?

Required:

Varshney, Ashutosh. 2007. "Ethnicity and Ethnic Conflict." In *Oxford Handbook of Comparative Politics*, edited by Charles Boix and Susan Stokes, 274-294. Oxford: Oxford University Press.

Anderson, Benedict. 2006. *Imagined Communities: Reflections on the Origin and Spread of Nationalism* London: Verso Books: 1-65.

Habyarimana, James, Macartan Humphreys, Daniel N. Posner, and Jeremy M. Weinstein. 2007. "Why Does Ethnic Diversity Undermine Public Goods Provision?" *American Political Science Review* 101(4): 709-25.

Posner, Daniel. 2004. "The Political Salience of Cultural Difference: Why Chewas and Tumbukas Are Allies in Zambia and Adversaries in Malawi." *American Political Science Review* 98(4): 529-545.

Recommended:

Fearon, James D., and David D. Laitin. 1996. "Explaining Interethnic Cooperation." *American Political Science Review* 90(4): 715-35; Bates, Robert. 1973. "Modernization, Ethnic Competition and the Rationality of Politics in Contemporary Africa." In *State versus Ethnic Claims: African Policy Dilemmas*, edited by Donald S. Rothchild and Victor A. Olorunsola, 152-71. Boulder, CO: Westview Press; Chandra, Kanchan. 2006. "What is Ethnic Identity and Does it Matter?" *Annual Review of Political Science* 9: 397-424; Posner, Daniel. 2005. *Institutions and Ethnic Politics in Africa*. Cambridge University Press; Alesina, Alberto and Eliana La Ferrara. 2005. "Ethnic Diversity and Economic Performance." *Journal of Economic Literature* 43(3): 721-61; Easterly, William and Ross Levine. 1997. "Africa's Growth Tragedy: Policies and Ethnic Divisions." *Quarterly Journal of Economics* 112(4): 1203-1250; Chua, Amy. 2003. *World on Fire: How Exporting Free Market Democracy Breeds Ethnic Hatred and Global Instability*, New York: Anchor Books: chapter 1; Collier, Paul. 2000. "Ethnicity, Politics and Economic Performance." *Economics and Politics* 12(3): 225-245; Che, Daniel. 2010. "Club Goods and Group Identity: Evidence from Islamic Resurgence During the Indonesian Financial Crisis." *Journal of Political Economy* 118(2): 300-354; Jha, Saumitra. 2008. "Trade, Institutions and Religious Tolerance: Evidence from India." Stanford GSB working paper 2008.

May 13 (Session 11): Populism in Comparative Perspective

Core Questions: How can populism be best defined given the great diversity of populist parties and movements? Is populism homogenous or heterogeneous when considering it in comparative perspective?

Required:

Mudde, Cas and Cristobal Rovira Kaltwasser. 2013. "Exclusionary vs. Inclusionary Populism: Comparing Contemporary Europe and Latin America." *Government and Opposition* 48(2): 147-174.

Golder, Matt and Sona N. Golder (eds). 2016. "Symposium: Populism in Comparative Perspective" *Comparative Politics Newsletter* 26(2), APSA.

Hadiz, Vedi R. "Islam and Populism in the Middle East," 45-49.

Cheeseman, Nic. "Populism in Africa: Ethnicity, Solidarity, and the Potential for Inclusive Politics," 25-31.

Case, William. "Profiles of Populism: Southeast Asia," 19-25.

Oliver, Eric J. and Wendy M. Rahn. 2016. "Rise of the Trumpenvolk: Populism in the 2016 Election." *Annals of the American Academy* 6667: 189-206.

Arzheimer, Kai. 2017. "Explaining Electoral Support for the Radical Right". In *The Oxford Handbook of the Radical Right*, edited by Jens Rydgren. Oxford: Oxford University Press.

Recommended:

Mudde, Cas. 2007. *Populist Radical Right Parties in Europe*. Cambridge University Press: Cambridge; Rydgren, Jens. 2005. "Is Extreme Right-Wing Populism Contagious? Explaining the Emergence of a New Party Family." *European Journal of Political Research* 44(3): 413-437; Mudde, Cas. 2013. "Three Decades of Populist Radical Right Parties in Western Europe: So What?" *European Journal of Political Research* 52(1):1-19; Mudde, Cas. 2011. "The Populist Radical Right: A Pathological Normalcy." *West European Politics* 33(6): 1167-1186. Hadiz, Vedi. 2016. *Islamic Populism in Indonesia and the Middle East*. Cambridge University Press: Cambridge, chapter 2; Lubbers, Marcel, Mérove Gijsberts, and Peer Scheepers. 2002. "Extreme Right-Wing Voting in Western Europe." *European Journal of Political Research* 41(3): 345-378; Arzheimer, Kai. 2009. "Contextual Factors and the Extreme Right Vote in Western Europe 1980-2002." *American Journal of Political Science* 53(2), pp. 259-275; Swank, Duane, and Hans-Georg Betz. 2002. "Globalization, the Welfare State and Right-Wing Populism in Western Europe." *Socio-Economic Review* 1(2): 215-245; de Lange, Sarah L. 2012. "New Alliances: Why Mainstream Parties Govern with Radical Right-Wing Populist Parties." *Political Studies* 60(4): 899-918; Heinisch, Reinhard. 2003. "Success in Opposition – Failure in Government: Explaining the Performance of Right-Wing Populist Parties in Public Office." *West European Politics* 26(3): 91-130; Andrea Mammone, Emmanuel Godin and Brian Jenkins. 2012. *Mapping the Extreme Right in Contemporary Europe. From Local to Transnational*. Routledge: London.

May 20 (Session 12): Civil Society and Social Capital

Core Questions: Through what mechanisms does civic activity influence government performance? Is civil society the same and as important in politics in non-Western countries as it is argued to be in Western ones?

Required:

Levi, Margaret. 1996. "Social and Unsocial Capital: A Review Essay of Robert Putnam's Making Democracy Work." *Politics & Society* 24, no. 1 (March): 45-55.

Varshney, Ashutosh. 2001. "Ethnic Conflict and Civil Society: India and Beyond." *World Politics* 53(3): 362-398.

Berman, Sheri. 2003. "Islamism, Revolution and Civil Society." *Perspectives on Politics* 1(2): 257-272.

Orvis, Stephen. 2001. "Civil Society in Africa or African Civil Society?" *Journal of Asian and African Studies* 36(1): 17-38.

Recommended:

Putnam, Robert. 1993. *Making Democracy Work: Civic Traditions in Modern Italy*. Princeton: Princeton University Press; Putnam, Robert. 2000. *Bowling Alone: The Collapse and Revival of American Community*. New York: Simon & Schuster; Rothstein, Bo and Eric Uslaner. 2005. "All for All. Equality, Corruption and Social Trust." *World Politics*, 58(1): 41-72; McIlwaine, Cathy and Caroline O. N. Moser. 2001. "Violence and Social Capital in Urban Poor Communities: Perspectives from Colombia and Guatemala." *Journal of International Development* 13(7): 965-984; Crepaz, Markus M. L. 2008. *Trust Beyond Borders. Immigration, the Welfare State and Identity in Modern Societies*. Ann Arbor: The University of Michigan Press. Hooghe, Marc and Dietlind Stolle. 2003. *Generating Social Capital. Civil Society and Institutions in Comparative Perspective*. New York: Palgrave; Putnam, Robert. 2007. "E Pluribus Unum: Diversity and Community in the Twenty First Century. The 2006 Johan Skytte Prize Lecture." *Scandinavian Political Studies* 30(2): 137-174; Berman, Sheri. 1997. "Civil Society and the Collapse of the Weimar Republic," *World Politics* 49(3): 401-429.

May 27 (Session 13): Conclusion

*** Note: Research design paper due June 1, midnight. ***